PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/773.653 TRANSMITTAL Filing Date 02/06/2004 **FORM** First Named Inventor Anil Kamath Art Unit Not Yet Assigned (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned Attorney Docket Number 111210-134991 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Return Receipt Postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm SCHWABE, WILLIAMSON & WYATT, P.C. Individual name Signature Date 05/03/2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Heather L. Adamson Date 05/03/2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)
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for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

✓ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known				
Application Number	10/773,653			
Filing Date	02/06/2004			
First Named Inventor	Anil Kamath			
Examiner Name	Not Yet Assigned			
Art Unit	Not Yet Assigned			
Attorney Docket No.	111210-134991			

METHOD OF PAYMENT (check all that apply)	apply) FEE CALCULATION (continued)					
Check Credit card Money Other None	Other None 3. ADDITIONAL FEES					
Deposit Account:	Large Entity Small Entity					
Deposit Account 500393			Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	1051 1	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account Name	1052	50	2052		Surcharge - late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)	1053 1	130	1053		Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812 2,	520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,8	840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251 ·	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254 1,4	480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255 2,0	010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451 1,5	510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00		110	2452	55	Petition to revive - unavoidable	
	1453 1,3	330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from	1501 1,3	330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid Total Claims 20** = X =		480	2502		Design issue fee	
Total Claims		640	2503		Plant issue fee	
Claims - 3 ⁻¹ = L A L L L L L L L L	1460	130	1460	130	Petitions to the Commissioner	
	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	` '//	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0.00	Other fee					
**or number previously paid, if greater; For Reissues, see above	*Reduce	ed by	Basic F	Filing Fo	ee Paid SUBTOTAL (3) (\$) 0.00	

SUBMITTED BY					(Complete	(if applicable))
Name (Print/Type)	Aloysius T.C. AuYeung	1	Registration No. (Attorney/Agent)	35,432	Telephone	503-222-9981
Signature		Mar			Date	05/03/2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application for:

Anil Kamath

Application No.: 10/773,653

Filed: 02/06/2004

For: METHOD AND APPARATUS FOR

POSITION BIDDING

Examiner: Not Yet Assigned

Art Group: Not Yet Assigned

Confirmation No. Not Yet Assigned

<u>CERTIFICATE OF TRANSMISSION/MAILING</u>
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an

envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: 05/03/2004

Typed or Printed: Heather L. Adamson

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

This IDS is being submitted under one or more of the following sections of 37 C.F.R. § 1.97 (as indicated by an "X" to the left of the appropriate paragraph(s)): X 37 C.F.R. § 1.97(b). Filed: (1) Within three months of a National Application other than a CPA; (2) Within three months of entry into National Stage: (3) Before mailing of a first Office Action on the merits; or (4) Before mailing of a first Office Action after filing an RCE. 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action. Notice of Allowance, or an action that otherwise closes prosecution. And, ONE of the following: (1) One statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. (2) The fee set forth in 37 C.F.R. § 1.17(p). A check in the amount of \$180.00 is enclosed. 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee. And, BOTH of the following: (1) one statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. AND (2) The fee set forth in 37 C.F.R. § 1.17(p). A check in the amount of \$180.00 is enclosed.

- 2 -

Attorney's Docket No.: 111210-134991

Application No.: 10/773,653

Accompanying this IDS is a list of references and a copy of each reference listed therein, EXCEPT copies of references waived under:

(A) one o	or more of the following sections of 37 C.F.R. § 1.98 (as indicated by an "X"
to the lef	t of the appropriate paragraph(s)):
	_37 C.F.R. § 1.98(c) as being cumulative (marked with an "*" on the Form PTO-1449).
	_37 C.F.R. § 1.98(d) as being previously submitted in parent application/, to which the present application claims priority under 35 U.S.C. § 120.

(B) 1273 Off. Gaz. Pat. Office 55 as being submitted with respect to an application filed after June 30, 2003.

The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 500393. A Fee Transmittal is enclosed in duplicate for fee processing purposes.

Respectfully submitted,
SCHWABE, WILLIAMSON & WYATT, P.C.

Dated: 5/3/04

Aloysius T.C. AuYeung Registration No. 35,432

Pacwest Center, Suites 1600-1900 1211 SW Fifth Avenue Portland, Oregon 97204 Telephone: 503-222-9981 Paperwork

PTO/SB/08A (08-03)

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/773,653			
Filing Date	02/06/2004			
First Named Inventor	Anil Kamath			
Art Unit	Not Yet Assigned			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	111210-134991			

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
nitials*	No.1	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
/T.M./		US- 0 400 050	00/00/0004	A1-11 -1	3
, <u>, , , , , , , , , , , , , , , , , , </u>	1	^{US-} 6,199,050	03/06/2001	Alaia et al.	
/T.M./	2	^{US-} 6,408,283	06/18/2002	Alaia et al.	
/T.M./	3	^{US-} 6,499,018	12/24/2002	Alaia et al.	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶		
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Examiner	/The season Marradiald/	Date	10/00/0000
Signature	/ I homas Mansfield/	Considered	10/20/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the region of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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